

JPW

PATENT
8007-1060

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of

Masaaki SHIMIZU et al.

Conf. 3341

Application No. 10/812,179

Group 1756

Filed March 30, 2004

Examiner Unassigned

CYANINE COMPOUND, OPTICAL FILTER, AND
OPTICAL RECORDING MATERIAL

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with Rules 1.97 and 1.98, and in fulfillment of the duty of disclosure under Rule 1.56, the cited documents are made of record on the enclosed Form PTO-1449. JP 2002-294094, JP 2002-228829 and JP 05-339233 were previously cited in the Information Disclosure Statement of June 17, 2004.

As the USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and patent publication for applications filed after June 30, 2003, copies of the cited U.S. references are not enclosed, as the present application is filed after June 30, 2003. Copy of the cited foreign patent document and/or non-patent literature is enclosed.

A concise explanation of the relevance of these items is that these references were cited by the European Patent Office in the corresponding European Application Serial



Docket No. 8007-1060
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No. 04 00 8244. A copy of the European Search Report in which
they were cited is attached hereto.

Respectfully submitted,

YOUNG & THOMPSON

A handwritten signature in black ink, appearing to read 'Robert J. Patch', written over a horizontal line.

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September 10, 2004

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(Use several sheets if necessary)

 Attorney Docket No.:
8007-1060

 Application No.:
10/812,179

 Applicant:
Masaaki SHIMIZU et al.

 Filing Date:
March 30, 2004

 Group Art Unit:
1756
U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing date (if appropriate)
	4,743,530	05/10/1988	FARID et al.			
	4,743,531	05/10/1988	FARID et al.			
	5,329,019	07/12/1994	PEASE et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	EP 1 132 902 A1	09/12/2001	Europe				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

* Abstract provided for the Examiner's convenience